

---

# **Micro Focus Security**

## **ArcSight Event Broker and Connectors**

### **Configuring FIPS for Event Broker and SmartConnectors**

Document Release Date: June, 2018

Software Release Date: June, 2018



## Legal Notices

### Warranty

The only warranties for products and services of Micro Focus and its affiliates and licensors (“Micro Focus”) are set forth in the express warranty statements accompanying such products and services. Nothing herein should be construed as constituting an additional warranty. Micro Focus shall not be liable for technical or editorial errors or omissions contained herein. The information contained herein is subject to change without notice.

### Restricted Rights Legend

Confidential computer software. Except as specifically indicated otherwise, a valid license from Micro Focus is required for possession, use or copying. Consistent with FAR 12.211 and 12.212, Commercial Computer Software, Computer Software Documentation, and Technical Data for Commercial Items are licensed to the U.S. Government under vendor's standard commercial license.

### Copyright Notice

© Copyright 2018 Micro Focus or one of its affiliates.

### Trademark Notices

Adobe™ is a trademark of Adobe Systems Incorporated.

Microsoft® and Windows® are U.S. registered trademarks of Microsoft Corporation.

UNIX® is a registered trademark of The Open Group.

## Support

### Contact Information

<b>Phone</b>	A list of phone numbers is available on the Technical Support Page: <a href="https://softwaresupport.softwaregrp.com/support-contact-information">https://softwaresupport.softwaregrp.com/support-contact-information</a>
<b>Support Web Site</b>	<a href="https://softwaresupport.softwaregrp.com/">https://softwaresupport.softwaregrp.com/</a>
<b>ArcSight Product Documentation</b>	<a href="https://community.softwaregrp.com/t5/ArcSight-Product-Documentation/ctp/productdocs">https://community.softwaregrp.com/t5/ArcSight-Product-Documentation/ctp/productdocs</a>

## Document Revision History

The title page of this document contains the following identifying information:

- Software Version number, which indicates the software version.
- Document Release Date, which changes each time the document is updated.

To check for recent updates or to verify that you are using the most recent edition of a document, go to the : [ArcSight Product Documentation Community on Protect 724](#).

### Document Changes

Date	Product Version	Description

# Contents

Chapter 1: What is FIPS? .....	5
Chapter 2: Client Authentication .....	6
Configure an Event Broker Destination with Client Authentication in FIPS Mode .....	6
Step 1: On the Connector Server .....	6
Step 2: On the Event Broker Server .....	8
Step 3: On the Connector Server .....	8
Step 4: On the Event Broker Server .....	8
Step 5: On the Connector Server .....	9
Step 6: On the Event Broker Server .....	11
Configure an Event Broker Destination with Client Authentication in Non-FIPS Mode .....	12
Step 1: On the Connector Server .....	12
Step 2: On the Event Broker Server .....	13
Step 3: On the Connector Server .....	13
Step 4: On the Event Broker Server .....	14
Step 5: On the Connector Server .....	14
Step 6: On the Event Broker Server .....	15
Configure an Event Broker Destination without Client Authentication in FIPS Mode .....	17
On the SmartConnector Server .....	17
Send Documentation Feedback .....	20

# Chapter 1: What is FIPS?

Under the Information Technology Management Reform Act (Public Law 104-106), the Secretary of Commerce approves standards and guidelines that are developed by the National Institute of Standards and Technology (NIST) for Federal computer systems. These standards and guidelines are issued by NIST as Federal Information Processing Standards (FIPS) for use government-wide. NIST develops FIPS when there are compelling Federal government requirements such as for security and interoperability and there are no acceptable industry standards or solutions.

FIPS Suite B includes cryptographic algorithms for hashing, digital signatures, and key exchange. The entire suite of cryptographic algorithms is intended to protect both classified and unclassified national security systems and information.

**Note:** When FIPS-compliant connectors connect to a non-FIPS-compliant destination, the solution is not considered FIPS compliant. When the destination is installed in FIPS Suite B compliant mode, the SmartConnectors must also be installed in FIPS Suite B compliant mode.

# Chapter 2: Client Authentication

Follow these instructions to enable Client Authentication for Event Broker and SmartConnectors with Event Broker destinations. For additional Event Broker configuration, see the *Administrator's Guide for Event Broker* and "Event Broker" in the *Smart Connector User Guide* on [Protect 724](#).

**Note:** The following examples:

- use the default password. See the appendix for FIPS Compliant SmartConnectors in the *SmartConnector User Guide* on [Protect 724](#) to set a non-default password.
- assume that you are on the Linux platform. For Windows platforms, use backslashes when entering commands.
- assume you are using a command prompt window to enter Windows commands. Do not use Windows PowerShell.

## Configure an Event Broker Destination with Client Authentication in FIPS Mode

Follow these steps to configure an Event Broker (EB) destination from the SmartConnector with client authentication in FIPS mode.

### Step 1: On the Connector Server

1. Prepare the connector:
  - **If the connector is not yet installed:** Run the installer. After core software has been installed, you will see a window that lets you select **Add a Connector** or **Select Global Parameters**. Check **Select Global Parameters**, and on the window displayed, select **Set FIPS mode**. Set to **Enabled**.
  - **If the connector is already installed:** Run the installer. Select **Set Global Parameters** and set **Set FIPS Mode** to **Enabled**.
2. Navigate to the connector's current directory, for example:  
`cd <install_dir>/current`
3. Apply the following workaround for a Java keytool issue:
  - a. Create a new file, `agent.security`, at `<install_dir>/current/user/agent` (or at `<install_dir>\current\user\agent` on Windows platforms).
  - b. Add the following content to the file and save:

```
security.provider.1=org.bouncycastle.jcajce.provider
.BouncyCastleFipsProvider
security.provider.2=com.sun.net.ssl.internal.ssl.Provider BCFIPS
security.provider.3=sun.security.provider.Sun
```

c. Move the lib/agent/fips/bcprov-jdk14-119.jar file to the current directory.

4. Set the environment variables for static values used by keytool:

```
export CURRENT=<full path to this "current" folder>
export BC_OPTS="-storetype BCFKS -providername BCFIPS
-J-Djava.security.egd=file:/dev/urandom
-J-Djava.ext.dirs=${CURRENT}/jre/lib/ext:${CURRENT}/lib/agent/fips
-J-Djava.security.properties=${CURRENT}/user/agent/agent.security"
export EB=<event broker hostname>_<event broker port>
export STORES=${CURRENT}/user/agent/stores
```

**On Windows platforms:**

```
set CURRENT=<full path to this "current" folder>
set BC_OPTS=-storetype BCFKS -providername BCFIPS
-J-Djava.ext.dirs=%CURRENT%\jre\lib\ext;%CURRENT%\lib\agent\fips
-J-Djava.security.properties=%CURRENT%\user\agent\agent.security
set EB=<event broker hostname>_<event broker port>
set STORES=%CURRENT%\user\agent\stores
```

5. Create the user/agent/stores directory if it does not already exist, for example:

```
mkdir ${STORES}
```

**On Windows platforms:**

```
mkdir %STORES%
```

6. Create the connector key pair, for example (the connector FQDN, OU, O, L, ST, and C values must be changed for your company and location):

```
jre/bin/keytool ${BC_OPTS} -genkeypair -alias ${EB} -keystore
${STORES}/${EB}.keystore.bcfips -dname "cn=<Connector
FQDN>,OU=Arcsight,O=MF,L=Sunnyvale,ST=CA,C=US" -validity 365
```

**On Windows platforms:**

```
jre\bin\keytool %BC_OPTS% -genkeypair -alias %EB% -keystore
%STORES%\%EB%.keystore.bcfips -dname "cn=<Connector
FQDN>,OU=Arcsight,O=MF,L=Sunnyvale,ST=CA,C=US" -validity 365
```

When prompted, enter the password. Note the password; you will need it again in a later step. Press **Enter** to use the same password for the key. If you want to match the default value in the properties file, use the password `changeit`.

7. List the key store entries. There should be one private key.

```
jre/bin/keytool ${BC_OPTS} -list -keystore ${STORES}/${EB}.keystore.bcfips  
-storepass <key store password>
```

**On Windows platforms:**

```
jre\bin\keytool %BC_OPTS% -list -keystore %STORES%\%EB%.keystore.bcfips  
-storepass <key store password>
```

8. Create a Certificate Signing Request (CSR), for example:

```
jre/bin/keytool ${BC_OPTS} -certreq -alias ${EB} -keystore  
${STORES}/${EB}.keystore.bcfips -file ${STORES}/${EB}-cert-req -storepass  
<key store password>
```

**On Windows platforms:**

```
jre\bin\keytool %BC_OPTS% -certreq -alias %EB% -keystore  
%STORES%\%EB%.keystore.bcfips -file %STORES%\%EB%-cert-req -storepass  
<key store password>
```

## Step 2: On the Event Broker Server

1. Navigate to an empty, temporary directory.
2. Copy the Event Broker's root certificate to this directory:

```
cp /opt/arcsight/kubernetes/ssl/ca.crt .
```

3. Copy the Event Broker's private key to this directory:

```
cp /opt/arcsight/kubernetes/ssl/ca.key .
```

## Step 3: On the Connector Server

Copy the `${STORES}/${EB}-cert-req` file (`%STORES%\%EB%-cert-req` on Windows platforms) from the connector to the Event Broker directory created above.

## Step 4: On the Event Broker Server

Create the signed certificate, for example:



```
/bin/openssl x509 -req -CA ca.crt -CAkey ca.key -in <event broker hostname>_  
<event broker port>-cert-req -out <event broker hostname>_<event broker  
port>-cert-signed -days 365 -CAcreateserial -sha256
```

## Step 5: On the Connector Server

1. Copy the `${EB}-cert-signed` certificate from the Event Broker to the connector's `${STORES}` directory. (On the Windows platform, copy the `%EB%-cert-signed` certificate to the connector's `%STORES%` directory.)
2. Copy the `ca.crt` certificate from the Event Broker to the connector's `${STORES}` directory. (On the Windows platform, copy the certificate to the `%STORES%` directory.)
3. Import the Event Broker certificate to the trust store, for example:

```
jre/bin/keytool ${BC_OPTS} -importcert -file ${STORES}/ca.crt -alias  
CARoot -keystore ${STORES}/${EB}.truststore.bcfips -storepass <trust store  
password>
```

### On Windows platforms:

```
jre\bin\keytool %BC_OPTS% -importcert -file %STORES%\ca.crt -alias  
CARoot -keystore %STORES%\%EB%.truststore.bcfips -storepass <trust  
store password>
```

4. When prompted, enter **yes** to trust the certificate.
5. Import the Event Broker certificate to the key store, for example:

```
jre/bin/keytool ${BC_OPTS} -importcert -file ${STORES}/ca.crt -alias  
CARoot -keystore ${STORES}/${EB}.keystore.bcfips -storepass <key store  
password>
```

### On Windows platforms:

```
jre\bin\keytool %BC_OPTS% -importcert -file %STORES%\ca.crt -alias  
CARoot -keystore %STORES%\%EB%.keystore.bcfips -storepass <key store  
password>
```

6. When prompted, enter **yes** to trust the certificate.
7. Import the signed certificate to the key store, for example:  

```
jre/bin/keytool ${BC_OPTS} -importcert -file ${STORES}/${EB}-cert-signed  
-alias ${EB} -keystore ${STORES}/${EB}.keystore.bcfips -storepass <key  
store password>
```

**On Windows platforms:**

```
jre\bin\keytool %BC_OPTS% -importcert -file %STORES%\%EB%-cert-signed  
-alias %EB% -keystore %STORES%\%EB%.keystore.bcfips -storepass <key  
store password>
```

If successful, this command will return the message, Certificate reply was installed in keystore.

8. Note the key store and trust store paths:

```
echo ${STORES}/${EB}.truststore.bcfips  
echo ${STORES}/${EB}.keystore.bcfips
```

**On Windows platforms:**

```
echo %STORES%\%EB%.truststore.bcfips  
echo %STORES%\%EB%.keystore.bcfips
```

9. Navigate to the bin directory and run agent setup. Install a connector with Event Broker as the destination, for example:

```
cd <installation dir>/current/bin  
./runagentsetup.sh
```

**On Windows platforms:**

```
cd <installation dir>\current\bin  
runagentsetup.bat
```

- a. When completing the Event Broker destination fields, use the same values as in Step 8 for the path and password.
  - b. Set **Use SSL/TLS** to **true**.
  - c. Set **Use SSL/TLS Authentication** to **true**.
10. Cleanup. Delete the following files:

**Caution:** The following files should be deleted to prevent the distribution of security certificates that could be used to authenticate against the Event Broker. These files are very sensitive and should not be distributed to other machines.

```
rm ${STORES}/ca.crt  
rm ${STORES}/${EB}-cert-signed  
rm ${STORES}/${EB}-cert-req
```

**On Windows platforms:**

```
del %STORES%\ca.crt  
del %STORES%\%EB%-cert-signed  
del %STORES%\%EB%-cert-req
```

11. Move the `bcprov-jdk14-119.jar` file back to the `lib/agent/fips` directory (or `lib\agent\fips` on Windows platforms).

## Step 6: On the Event Broker Server

To cleanup the Event Broker server, delete the temporary folder where the certificate was signed.

**Caution:** The temporary folder should be deleted to prevent the distribution of security certificates that could be used to authenticate against the Event Broker. These files are very sensitive and should not be distributed to other machines.

# Configure an Event Broker Destination with Client Authentication in Non-FIPS Mode

Follow these steps to configure an Event Broker (EB) destination from the SmartConnector with client authentication, but in non-FIPS mode.

## Step 1: On the Connector Server

1. Prepare the SmartConnector:

- **If the connector is not yet installed:** Run the installer. After core software has been installed, you will see a window that lets you select **Add a Connector** or **Select Global Parameters**. Check **Select Global Parameters**, and on the window displayed, select **Set FIPS mode**. Set to **Enabled**.
- **If the connector is already installed:** Run the installer. Select **Set Global Parameters** and set **Set FIPS Mode** to **Enabled**.

2. Navigate to the connector's current directory, for example:

```
cd <install dir>/current
```

**On Windows platforms:**

```
cd <install dir>\current
```

3. Set the environment variables for the static values used by keytool, for example:

```
export CURRENT=<full path to this "current" folder>
export EB=<eb hostname>_<eb port>
export STORES=${CURRENT}/user/agent/stores
```

**On Windows platforms:**

```
set CURRENT=<full path to this "current" folder>
set EB=<eb hostname>_<eb port>
set STORES=%CURRENT%\user\agent\stores
```

4. Create the user/agent/stores directory if does not already exist, for example:

```
mkdir ${STORES}
```

**On Windows platforms:**

```
mkdir %STORES%
```

5. Create the connector key pair, for example:

```
jre/bin/keytool -genkeypair -alias ${EB} -keystore  
${STORES}/${EB}.keystore.jks -dname "cn=<Connector  
FQDN>,OU=Arcsight,O=MF,L=Sunnyvale,ST=CA,C=US" -validity 365
```

**On Windows platforms:**

```
jre\bin\keytool -genkeypair -alias %EB% -keystore  
%STORES%\%EB%.keystore.jks -dname "cn=<Connector  
FQDN>,OU=Arcsight,O=MF,L=Sunnyvale,ST=CA,C=US" -validity 365
```

When prompted, enter the password. Note the password; you will need it again in a later step. Press Enter to use the same password for the key.

6. List the key store entries. There should be one private key.

```
jre/bin/keytool -list -keystore ${STORES}/${EB}.keystore.jks -storepass  
<key store password>
```

**On Windows platforms:**

```
jre\bin\keytool -list -keystore %STORES%\%EB%.keystore.jks -storepass  
<key store password>
```

7. Create a Certificate Signing Request (CSR), for example:

```
jre/bin/keytool -certreq -alias ${EB} -keystore  
${STORES}/${EB}.keystore.jks -file ${STORES}/${EB}-cert-req -storepass  
<keystore password>
```

**On Windows platforms:**

```
jre\bin\keytool -certreq -alias %EB% -keystore  
%STORES%\%EB%.keystore.jks -file %STORES%\%EB%-cert-req -storepass  
<keystore password>
```

## Step 2: On the Event Broker Server

1. Navigate to an empty, temporary directory.
2. Copy the Event Broker's root certificate to this directory:  

```
cp /opt/arcsight/kubernetes/ssl/ca.crt .
```
3. Copy the Event Broker's private key to this directory:  

```
cp /opt/arcsight/kubernetes/ssl/ca.key .
```

## Step 3: On the Connector Server

Copy the `${STORES}/${EB}-cert-req` file (`%STORES%\%EB%-cert-req` on Windows platforms) from the connector to the Event Broker directory created above.

## Step 4: On the Event Broker Server

Create the signed certificate, for example:

```
/bin/openssl x509 -req -CA ca.crt -CAkey ca.key -in <event broker hostname>_  
<event broker port>-cert-req -out <event broker hostname>_<event broker  
port>-cert-signed -days 365 -CAcreateserial -sha256
```

## Step 5: On the Connector Server

1. Copy the `${EB}-cert-signed` certificate from the Event Broker to the connector's `${STORES}` directory. (On the Windows platform, copy the `%EB%-cert-signed` certificate to the connector's `%STORES%` directory.)
2. Copy the `ca.crt` certificate from the Event Broker to the connector's `${STORES}` directory. (On the Windows platform, copy the certificate to the `%STORES%` directory.)

3. Import the Event Broker certificate to the trust store, for example:

```
jre/bin/keytool -importcert -file ${STORES}/ca.crt -alias CARoot  
-keystore ${STORES}/${EB}.truststore.jks -storepass <trust store password>
```

### On Windows platforms:

```
jre\bin\keytool -importcert -file %STORES%\ca.crt -alias CARoot  
-keystore %STORES%\%EB%.truststore.jks -storepass <trust store  
password>
```

4. When prompted, enter **yes** to trust the certificate.
5. Import the Event Broker certificate to the key store, for example:

```
jre/bin/keytool -importcert -file ${STORES}/ca.crt -alias CARoot -keystore  
${STORES}/${EB}.keystore.jks -storepass <key store password>
```

### On Windows platforms:

```
jre\bin\keytool -importcert -file %STORES%\ca.crt -alias CARoot -  
keystore %STORES%\%EB%.keystore.jks -storepass <key store password>
```

6. When prompted, enter **yes** to trust the certificate.
7. Import the signed certificate to the key store, for example:

```
jre/bin/keytool -importcert -file ${STORES}/${EB}-cert-signed -alias ${EB}  
-keystore ${STORES}/${EB}.keystore.jks -storepass <key store password>
```

### On Windows platforms:

```
jre\bin\keytool -importcert -file %STORES%\%EB%-cert-signed -alias %EB%
```

```
-keystore %STORES%\%EB%.keystore.jks -storepass <key store password>
```

If successful, this command will return the message, Certificate reply was installed in keystore.

8. Note the key store and trust store paths:

```
echo ${STORES}/${EB}.truststore.jks
echo ${STORES}/${EB}.keystore.jks
```

**On Windows platforms:**

```
echo %STORES%\%EB%.truststore.jks
echo %STORES%\%EB%.keystore.jks
```

9. Navigate to the bin directory and run agent setup. Install a connector with Event Broker as the destination, for example:

```
cd <installation dir>/current/bin
./runagentsetup.sh
```

**On Windows platforms:**

```
cd <installation dir>\current\bin
runagentsetup.bat
```

- a. When completing the Event Broker destination fields, use the same values as in Step 8 for the path and password.
  - b. Set **Use SSL/TLS** to **true**.
  - c. Set **Use SSL/TLS Authentication** to **true**.
10. Cleanup. Delete the following files:

**Caution:** The following files should be deleted to prevent the distribution of security certificates that could be used to authenticate against the Event Broker. These files are very sensitive and should not be distributed to other machines.

```
rm ${STORES}/ca.crt
rm ${STORES}/${EB}-cert-signed
rm ${STORES}/${EB}-cert-req
```

**On Windows platforms:**

```
del %STORES%\ca.crt
del %STORES%\%EB%-cert-signed
del %STORES%\%EB%-cert-req
```

## Step 6: On the Event Broker Server

To cleanup the Event Broker server, delete the temporary folder where the certificate was signed.

**Caution:** The temporary folder should be deleted to prevent the distribution of security certificates that could be used to authenticate against the Event Broker. These files are very sensitive and should not be distributed to other machines.



# Configure an Event Broker Destination without Client Authentication in FIPS Mode

Follow these steps to configure an Event Broker destination from the SmartConnector without client authentication in FIPS mode.

## On the SmartConnector Server

1. Prepare the SmartConnector:
  - **If the connector is not yet installed:** Run the installer. After core software has been installed, you will see a window that lets you select **Add a Connector** or **Select Global Parameters**. Check **Select Global Parameters**, and on the window displayed, select **Set FIPS mode**. Set to **Enabled**.
  - **If the connector is already installed:** Run the installer. Select **Set Global Parameters** and set **Set FIPS Mode** to **Enabled**.

2. Navigate to the connector's current directory, for example:

```
cd <install dir>/current
```

3. Set the environment variables for the static values used by keytool, for example:

```
export CURRENT=<full path to this "current" folder>
export BC_OPTS="-storetype BCFKS -providername BCFIPS -providerclass
org.bouncycastle.jcajce.provider.BouncyCastleFipsProvider -providerpath
${CURRENT}/lib/agent/fips/bc-fips-1.0.0.jar
-J-Djava.security.egd=file:/dev/urandom"
export EB=<event broker hostname>_<event broker port>
export STORES=${CURRENT}/user/agent/stores
```

### On Windows platforms:

```
set CURRENT=<full path to this "current" folder>
set BC_OPTS="-storetype BCFKS -providername BCFIPS
-J-Djava.ext.dirs=%CURRENT%\jre\lib\ext;%CURRENT%\lib\agent\fips
-J-Djava.security.properties=%CURRENT%\user\agent\agent.security"
set EB=<event broker hostname>_<event broker port>
set STORES=%CURRENT%\user\agent\stores
```

4. Create the user/agent/stores directory if does not already exist, for example:

```
mkdir ${STORES}
```

**On Windows platforms:**

```
mkdir %STORES%
```

5. Copy the `ca.crt` certificate from the Event Broker to the connector's `${STORES}` directory. (On the Windows platform, copy the certificate to the `%STORES%` directory.)

The certificate will typically be in the `/opt/arcsight/kubernetes/ssl` (or `\opt\arcsight\kubernetes\ssl`) directory on Event Broker.

6. Import the Event Broker certificate to the trust store, for example:

```
jre/bin/keytool ${BC_OPTS} -importcert -file ${STORES}/ca.crt -alias  
CARoot -keystore ${STORES}/${EB}.truststore.bcfips -storepass <trust store  
password>
```

**On Windows platforms:**

```
jre\bin\keytool %BC_OPTS% -importcert -file %STORES%\ca.crt -alias  
CARoot -keystore %STORES%\%EB%.truststore.bcfips -storepass <trust  
store password>
```

When prompted, enter **yes** to trust the certificate.

7. Note the trust store path:

```
echo ${STORES}/${EB}.truststore.bcfips
```

**On Windows platforms:**

```
echo %STORES%\%EB%.truststore.bcfips
```

8. Navigate to the `bin` directory and run agent setup. Install a connector with Event Broker as the destination, for example:

```
cd <installation dir>/current/bin  
./runagentsetup.sh
```

**On Windows platforms:**

```
cd <installation dir>\current\bin  
runagentsetup.bat
```

- a. When completing the Event Broker destination fields, use the value from Step 7 for the trust store path and the password used in Step 6 for the trust store password.
  - b. Set **Use SSL/TLS** to **true**.
  - c. Set **Use SSL/TLS Authentication** to **false**.
9. Cleanup. Delete the certificate file, for example:

**Caution:** The following file should be deleted to prevent the distribution of security certificates

that could be used to authenticate against the Event Broker. These files are very sensitive and should not be distributed to other machines.

```
rm ${STORES}/ca.crt
```

**On Windows platforms:**

```
del %STORES%\ca.crt
```

# Send Documentation Feedback

If you have comments about this document, you can [contact the documentation team](#) by email. If an email client is configured on this computer, click the link above and an email window opens with the following information in the subject line:

**Feedback on Configuring FIPS for Event Broker and SmartConnectors (Event Broker and Connectors )**

Just add your feedback to the email and click send.

If no email client is available, copy the information above to a new message in a web mail client, and send your feedback to [arcsight\\_doc@microfocus.com](mailto:arcsight_doc@microfocus.com).

We appreciate your feedback!